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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/503,040	02/11/2000	Rajiv Laroia	12-4-1-1	6040
7	590 09/22/2004		EXAMINER	
Ryan & Mason LLP 90 Forest Avenue			STEVENS, ROBERTA A	
Locust Valley, NY 11560		•	ART UNIT	PAPER NUMBER
,			2665	

Please find below and/or attached an Office communication concerning this application or proceeding.

-	Application No.	Applicant(s)			
	09/503,040	LAROIA ET AL.			
Office Action Summary	Examiner	Art Unit			
·	Roberta A Stevens	2665			
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with t	the correspondence add	ress		
A SHORTENED STATUTORY PERIOD FOR REPL THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a repl - If NO period for reply is specified above, the maximum statutory period - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailin earned patent term adjustment. See 37 CFR 1.704(b).	136(a). In no event, however, may a reply ly within the statutory minimum of thirty (30 will apply and will expire SIX (6) MONTHS e, cause the application to become ABANI	be timely filed  O) days will be considered timely. From the mailing date of this component	munication.		
Status					
1) Responsive to communication(s) filed on 06-2	<u>23-2004</u> .				
2a)⊠ This action is FINAL. 2b)☐ This	s action is non-final.				
3) Since this application is in condition for allowated closed in accordance with the practice under the condition of the	· · · · · · · · · · · · · · · · · · ·	•	merits is		
Disposition of Claims	•				
4) ⊠ Claim(s) 1-34 is/are pending in the application 4a) Of the above claim(s) is/are withdra 5) □ Claim(s) is/are allowed. 6) ⊠ Claim(s) 1-4, 6-14, 16, 17, 20, 25-34 is/are rej 7) ⊠ Claim(s) 5,15,18,19 and 21-24 is/are objected 8) □ Claim(s) are subject to restriction and/or	ected.				
Application Papers					
9) The specification is objected to by the Examine	er.				
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.					
Applicant may not request that any objection to the	drawing(s) be held in abeyance.	See 37 CFR 1.85(a).			
Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the E.		•	* *		
Priority under 35 U.S.C. § 119	•				
<ul> <li>12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority document</li> <li>2. Certified copies of the priority document</li> <li>3. Copies of the certified copies of the priority document</li> <li>application from the International Bureat</li> <li>* See the attached detailed Office action for a list</li> </ul>	ts have been received. ts have been received in Appl prity documents have been rec nu (PCT Rule 17.2(a)).	ication No ceived in this National S	stage		
		•			
Attachment(s)					
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Sum Paper No(s)/M	mary (PTO-413) ail Date			
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08 Paper No(s)/Mail Date		mal Patent Application (PTO-	152)		

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## Claim Rejections - 35 USC § 103

- 1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. Claims 1-14, 16, 17, 20 and 25-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Engstrom (EP 0 7600 564 A2) in view of Dent (U.S. 5430760).
- 2. Regarding claims 1, Engstrom teaches (abstract) a method of uplink communication between a mobile station of a wireless communication system.
- 3. Engstrom does not teach transmitting at least one of an uplink access signal and an uplink timing synchronization signal from the mobile station to the base station in a particular one of a set of recurring intervals in which regular uplink data transmission format least one additional mobile station to the base station is at least partially suspended.
- 4. Dent teaches (column 3, lines 31-67) transmitting at least one of an uplink access signal and an uplink timing synchronization signal from the mobile station to the base station in a particular one of a set of recurring intervals in which regular uplink data transmission format least one additional mobile station to the base station is at least partially suspended. Dent teaches a random access method that involves mobile stations to transmit access signals to the base station requesting timing access and waits for a reply from the base station which transmits a list including time alignment information. It would have been obvious to one ordinary skill in

the art to adapt to Engstrom's system Dent's random access method to avoid interference in the system.

- 5. Regarding claim 2, Engstrom teaches (abstract) OFDM.
- 6. Regarding claim 3, Dent teaches (column 3, lines 31-67) the intervals comprise a set of time slots that are synchronized to a downlink established between the base station and the mobile station.
- 7. Regarding claim 4, Dent teaches (column 8) the base station in response to a generic uplink access signal assigns an uplink channel to the mobile station and subsequently transmits power control and synchronization information to the mobile station, such that the mobile station initiates a call set-up process over the assigned uplink channel.
- 8. Regarding claim 6, Dent teaches (column 3) at least a subset of mobile stations adjust their uplink transmission times such that they are received synchronized at the base station.
- 9. Regarding claim 7, Dent teaches (columns 7-8) downlink and uplink are synchronized at the base station, and the mobile station initially synchronizes to the base station downlink, such that the mobilize station is initially synchronized with a timing error of at most one round-trip propagation delay.

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10. Regarding claim 8, Dent teaches (column 3) the mobile station obtains the initial synchronization prior to acquiring an uplink channel, and remains synchronized in this manner even when access is not immediately required

- 11. Regarding claim 9, Dent teaches (column 3) in order to gain access, the mobile station transmits, in a timing and access interval, one of a set of designated access signals which are common for and known to all mobile stations attempting access to the base station.
- 12. Regarding claim 10, Dent teaches (columns3) each of a plurality of timing and access intervals, the base station searches for the presence of a transmitted access signal to determine if a mobile station is attempting to access, and after detecting an access, utilizes control logic to determine whether the access can be granted.
- 13. Regarding claim 11, Dent teaches (columns 3) in response to a successfully detected access signal, the base station is configured to broadcast a acknowledgement or a negative acknowledgement in a downlink channel known to each of a plurality of mobile stations, wherein the acknowledgement contains an uplink and/or downlink channel assignment for the mobile station to initiate a call set-up process.
- 14. Regarding claim 12, Dent teaches (columns 7-8) the base station is operative to estimate the received signal power and arrival time of an access signal of the mobile station, such that if

the access is granted, the base station can send initial power an timing correction in the access acknowledgement.

- 15. Regarding claim 13, Dent teaches (columns 7-8) access can be denied if the access signal was not received with sufficient power to ensure that the timing estimation has a desired level of accuracy.
- 16. Regarding claim 14, Dent teaches (column 1) mobile station performing identification, authentication and call set-up process initiation operations on assigned uplink and downlink channels after power levels and timing have been corrected via interaction with the base station.
- 17. Regarding claim 16, Dent teaches (columns 7-8) in response to a negative acknowledgement or the lack of an acknowledgement the mobile station is operative to retransmit an access signal in a later timing and access interval.
- 18. Regarding claim 17, Dent teaches (column 3) in order to reduce the probability of repeated collisions, each of a plurality of mobile stations are operative to select subsequent access signals from an access signal set in a manner which is independent of previous access signals selected by a particular mobile station.
- 19. Regarding claim 20, Dent teaches (columns 5-6) the mobile station is operative to send a unique identification as part of a call set-up process and the base station is operative to re-

transmit the unique identification back to the mobile station in a downlink so that the mobile station confirm that it is the intended user of the channel.

- 20. Regarding claim 25, as for the base station requesting resynchronization, it would have been obvious to one of ordinary skill in the art to adapt to Engstrom and Dent resynchronization to ensure quality of service within the system.
- 21. Regarding claim 27, Engstrom Engstrom teaches (abstract) a system of uplink communication, apparatus comprising a mobile station of a wireless communication system.
- 22. Engstrom does not teach transmitting at least one of an uplink access signal and an uplink timing synchronization signal from the mobile station to the base station in a particular one of a set of recurring intervals in which regular uplink data transmission format least one additional mobile station to the base station is at least partially suspended.
- 23. Dent teaches (column 3, lines 31-67) transmitting at least one of an uplink access signal and an uplink timing synchronization signal from the mobile station to the base station in a particular one of a set of recurring intervals in which regular uplink data transmission format least one additional mobile station to the base station is at least partially suspended. Dent teaches a random access method that involves mobile stations to transmit access signals to the base station requesting timing access and waits for a reply from the base station which transmits a list including time alignment information. It would have been obvious to one ordinary skill in the art to adapt to Engstrom's system Dent's random access method to avoid interference in the system.

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24. Regarding claim 28, Engstrom teaches (abstract) a method of uplink communication between a mobile station and a base station of a wireless communication system.

- 25. Engstrom does not teach transmitting at least one of an uplink access signal and an uplink timing synchronization signal from the mobile station to the base station in a particular one of a set of recurring intervals in which regular uplink data transmission format least one additional mobile station to the base station is at least partially suspended.
- 26. Dent teaches (column 3, lines 31-67) transmitting at least one of an uplink access signal and an uplink timing synchronization signal from the mobile station to the base station in a particular one of a set of recurring intervals in which regular uplink data transmission format least one additional mobile station to the base station is at least partially suspended. Dent teaches a random access method that involves mobile stations to transmit access signals to the base station requesting timing access and waits for a reply from the base station which transmits a list including time alignment information. It would have been obvious to one ordinary skill in the art to adapt to Engstrom's system Dent's random access method to avoid interference in the system.
- 27. Regarding claim 30, Engstrom teaches (abstract) an apparatus for use in a wireless communication system comprising a base station.
- 28. Engstrom does not teach transmitting at least one of an uplink access signal and an uplink timing synchronization signal from the mobile station to the base station in a particular one of a set of recurring intervals in which regular uplink data transmission format least one additional mobile station to the base station is at least partially suspended.

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29. Dent teaches (column 3, lines 31-67) transmitting at least one of an uplink access signal

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and an uplink timing synchronization signal from the mobile station to the base station in a

particular one of a set of recurring intervals in which regular uplink data transmission format

least one additional mobile station to the base station is at least partially suspended. Dent

teaches a random access method that involves mobile stations to transmit access signals to the

base station requesting timing access and waits for a reply from the base station which transmits

a list including time alignment information. It would have been obvious to one ordinary skill in

the art to adapt to Engstrom's system Dent's random access method to avoid interference in the

system.

30. Regarding claim 31, Engstrom teaches (abstract) a method of uplink communication

between a mobile station and a base station.

31. Engstrom does not teach transmitting an uplink access signal from the mobile station to

the base station in a particular one of a set of recurring intervals in which regular uplink data

transmission format least one additional mobile station to the base station is at least partially

suspended.

32. Dent teaches (column 3, lines 31-67) transmitting an uplink access signal from the mobile

station to the base station in a particular one of a set of recurring intervals in which regular

uplink data transmission format least one additional mobile station to the base station is at least

partially suspended. Dent teaches a random access method that involves mobile stations to

transmit access signals to the base station requesting timing access and waits for a reply from the

base station which transmits a list including time alignment information. It would have been

obvious to one ordinary skill in the art to adapt to Engstrom's system Dent's random access method to avoid interference in the system.

- 33. Regarding claim 32, Engstrom teaches (abstract) a method of uplink communication between a mobile station and a base station of a wireless communication system.
- 34. Engstrom does not teach transmitting an uplink timing synchronization signal from the mobile station to the base station in a particular one of a set of recurring intervals in which regular uplink data transmission format least one additional mobile station to the base station is at least partially suspended.
- 35. Dent teaches (column 3, lines 31-67) transmitting an uplink timing synchronization signal from the mobile station to the base station in a particular one of a set of recurring intervals in which regular uplink data transmission format least one additional mobile station to the base station is at least partially suspended. Dent teaches a random access method that involves mobile stations to transmit access signals to the base station requesting timing access and waits for a reply from the base station which transmits a list including time alignment information. It would have been obvious to one ordinary skill in the art to adapt to Engstrom's system Dent's random access method to avoid interference in the system.
- 36. Regarding claim 33, Engstrom teaches (abstract) a method of uplink communication between a mobile station and a base station of a wireless communication system.
- 37. Engstrom does not teach receiving in the base station an uplink access signal from the mobile station in a particular one of a set of recurring intervals in which regular uplink data

transmission format least one additional mobile station to the base station is at least partially suspended.

- 38. Dent teaches (column 3, lines 31-67) receiving in the base station an uplink access signal from the mobile station in a particular one of a set of recurring intervals in which regular uplink data transmission format least one additional mobile station to the base station is at least partially suspended. Dent teaches a random access method that involves mobile stations to transmit access signals to the base station requesting timing access and waits for a reply from the base station which transmits a list including time alignment information. It would have been obvious to one ordinary skill in the art to adapt to Engstrom's system Dent's random access method to avoid interference in the system.
- 39. Regarding claim 34, Engstrom teaches (abstract) a method of uplink communication between a mobile station and a base station of a wireless communication system.
- 40. Engstrom does not teach receiving in the base station an uplink timing synchronization signal from the mobile station in a particular one of a set of recurring intervals in which regular uplink data transmission format least one additional mobile station to the base station is at least partially suspended.
- 41. Dent teaches (column 3, lines 31-67) receiving in the base station an uplink access signal from the mobile station in a particular one of a set of recurring intervals in which regular uplink data transmission format least one additional mobile station to the base station is at least partially suspended. Dent teaches a random access method that involves mobile stations to transmit access signals to the base station requesting timing access and waits for a reply from the base

station which transmits a list including time alignment information. It would have been obvious to one ordinary skill in the art to adapt to Engstrom's system Dent's random access method to avoid interference in the system.

## Allowable Subject Matter

42. Claims 5, 15, 18, 19 and 21-24 objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### Response to Arguments

43. Applicant's arguments filed June 23, 2004 have been fully considered but they are not persuasive. Applicant argues that Dent does not teach suspension of regular uplink data transmission by one mobile station for a particular interval in which another mobile station transmits an uplink access signal. Applicant is directed to column 3, lines 56-67. Dent explains the process of random access channel. In random access when two mobile stations attempt to access a base station and there is interference, the base station sends a reply message the base station can detect a time difference and sends this reply message to the mobile station. The mobile station receives this reply message and adjusts the time of transmission of the access signal. This access signal is sent at a time when no other mobile station is transmitting to prevent interference. This process explained by Dent is Applicant's claimed invention.

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### Conclusion

44. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Roberta A Stevens whose telephone number is 571-272-3161. The examiner can normally be reached on M-F 9:00am-5:30pm.

- 45. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Huy Vu can be reached on 571-272-3155. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.
- Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Roberta A Stevens Examiner Art Unit 2665

√STEVEN NGUYEN PRIMARY EXAMINER